

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Tomotaka YOKOYAMA et al

Serial No.: Not Assigned

Filed: Herewith

Art Unit:

For: MANAGEMENT TECHNIQUE OF FRICTION,  
COEFFICIENT BASED ON SURFACE ROUGHNESS,  
SUBSTRATE FOR AN INFORMATION RECORDING  
MEDIUM, INFORMATION RECORDING MEDIUM AND)  
MANUFACTURING METHOD THEREOF

)  
) "Express Mail® Mailing Label No. EV 321713711  
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) I hereby certify that this correspondence is being  
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P.O. Box 1450, Alexandria, VA 22313-1450

) Name: Yue x Luan  
(typed or printed)

Signature Yue x Luan

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the references was submitted to the Patent Office or was cited by the Examiner in the parent application, Serial No. 09/741,978, filed December 20, 2000, which is being relied upon for an earlier filing date under 35 U.S.C. 120 (37 C.F.R. §1.98(d)).

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are

pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

A first office action, notice of allowance or issue fee notification has not been received in this case, so applicant does not believe that a fee is due. However, if any such fee is required, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

  
\_\_\_\_\_  
Mark J. Murphy  
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LIST OF PUBLICATIONS CITED BY APPLICANT	<u>Atty. Docket No.</u> 0524-0136.01	<u>Serial No.</u> Not Assigned
	<u>Applicant</u> Tomotaka YOKOYAMA et al	
	<u>Filing Date</u> Herewith	<u>Group</u>

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	4,770,550	09/13/88	Takahashi	384	495	09/08/87
	5,599,590	02/04/97	Hayashi et al	427	448	06/06/94
	6,383,404 B1	05/07/02	Sakai et al	216	97	08/19/99
	6,548,139 B1	04/15/03	Sakai et al	428	65.3	02/14/02

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	APPLICANT	English Abstract	English Trans.	FILING DATE

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS  
(Including Author, Title, Date, Pertinent Pages)

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EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.